National Science Foundation Proposal and Award Guide Update
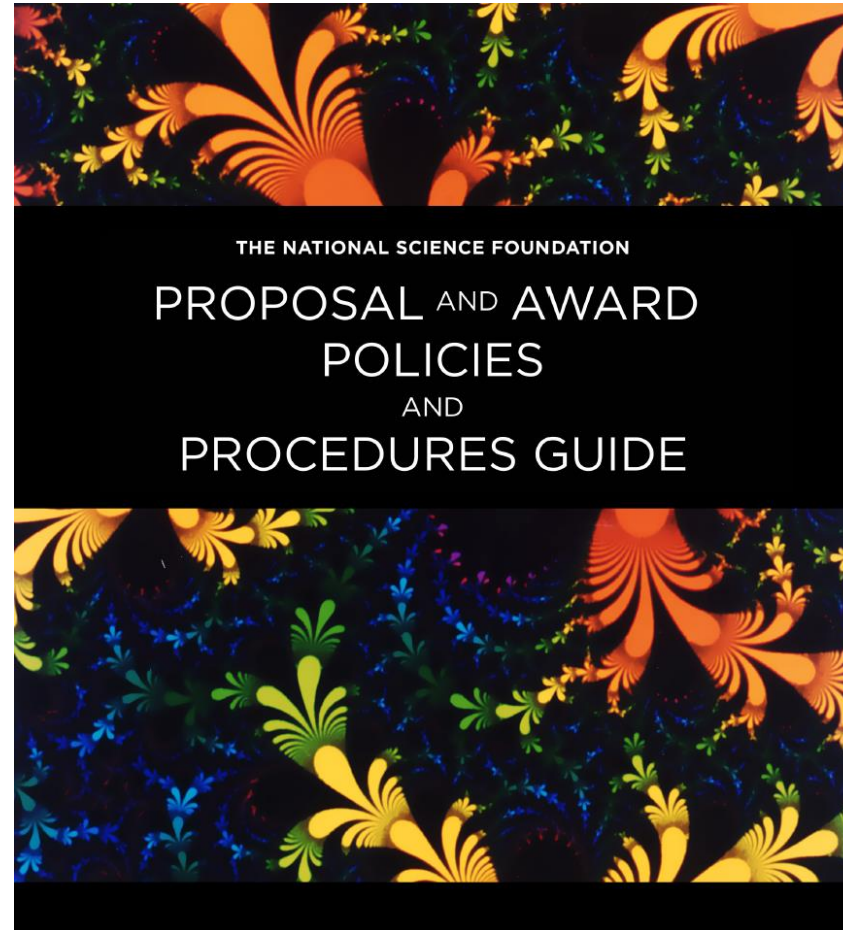
January 11, 2016

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NSF PAPPG GUIDE (NSF 16-1) takes effect for proposals submitted or due on or after, *January 25, 2016*

Grant Proposal Guide Changes: Consistency and Streamlining Initiative

➢ DUE DATE STANDARIZED FOR ALL PROPOSALS: ALL proposals are due on the date referenced in the solicitation and **by 5 p.m. submitter's local time**. Failure to submit by 5 p.m. submitter's local time will result in the proposal not being accepted.

➢ FORM AND FORMAT STANDARIZED FOR ALL PROPOSALS: solicitations no longer may specify different type size, margin, or spacing requirements. All NSF funding opportunities will follow the formatting instructions contained in this section of the GPG.

➢ SUGGESTED REVIEWERS: PI should include the e-mail address and institutional affiliation of persons they consider well qualified to review the proposal.

Grant Proposal Guide Changes: Consistency and Streamlining Initiative

SINGLE COPY DOCUMENTS

➢ **Collaborator and Other Affiliation Information** is now submitted as a single copy document and has been removed from the Biographical Sketch.

➢ PD/PI information on race, gender and ethnicity and disability status removed from single copy documents.

  ➢ This information is already provided in Fastlane's PD/PI profile.

Grant Proposal Guide Changes: Additional Automated Validation Functionality

BIOGRAPHICAL SKETCHES

➢ Biographical sketches have to be uploaded for each individual senior personnel.

➢ **Exception: biographical sketches for Other Personnel and for Equipment proposals** have to be uploaded as a **single PDF file in the Other Supplementary Documents** section of the proposal.

➢ Collaborator and Other Affiliation Information has been removed from Biographical Sketch and will now be submitted as a single copy document.

  ➢ Page limitation on Biographical Sketch remains **two pages.**

Grant Proposal Guide Changes: Other

CURRENT AND PENDING SUPPORT

➤ For current project support, we now have to include **internal funds** allocated toward specific projects that the PI may be devoting time to regardless of him/her getting paid from those funds.

➤ Current and pending support must now be uploaded separately for each individual identified on the proposal as senior personnel (a combined PDF file for all senior personnel is no longer acceptable).

Grant Proposal Guide Changes:
Other clarifications

DURC (DUAL USE RESEARCH OF CONCERN)

➢A certification has been added to the proposal submission for institutional officials.

➢SeRA has built in functionality to address the DURC policy implementation.

  ➢PI/Department identifies DURC agents being used in proposed research in SeRA (project questions).

  ➢SeRA sends an e-mail to EH&S to initiate review.

Project Questions

Cancer-related research   ○ Yes   ○ No

Human blood or body fluids   ○ Yes   ○ No

Infectious/Biohazardous agents   ◉ Yes   ○ No

     Are any Select Agents?   ○ Yes   ○ No

Agents/toxins		Agents/toxins used in research
Abrin		
African horse sickness virus		
African swine fever virus		
Avian influenza virus		
Bacillus anthracis		
Bacillus anthracis Pasteur strain		
Botulinum neurotoxin producing sp. of Clostridium		
Botulinum neurotoxins		
Brucella abortus		
Brucella melitensis		

Recombinant DNA molecules   ○ Yes   ○ No

Radiological hazards   ○ Yes   ○ No

Automated Compliance Checking

Warning messages are triggered if any of the following sections <u>are not included</u>:

➤ References Cited

➤ Biographical Sketch(es)

➤ Budget Justification: Primary Organization

➤ Budget Justification: Sub-recipient Organization

➤ Current and Pending Support

➤ Facilities, Equipment and Other Resources

IMPORTANT: Grants.gov does not perform these types of compliance checks and may allow a proposal to be submitted.

LINK TO ALL VALIDATIONS BY FUNDING MECHANISM:
http://www.nsf.gov/bfa/dias/policy/autocheck/compliancechecks_july15.pdf

Grant Proposal Guide Changes: Other clarifications

➢ COVER SHEET: For proposals that include attending international conferences, if the international conference location is not known at the time of proposal, enter **worldwide** as the location.

➢ RESULTS FROM PRIOR NSF SUPPORT: information must be provided for any PI or co-PI that has received NSF funding with a start date in the past five years (including any current funding and no-cost extensions).

  ➢ Identify when the start of the five year period begins; and

  ➢ Provide examples of the types of NSF awards included as prior support.

Grant Proposal Guide Changes: Other clarifications

ANIMAL SUBJECTS

Sufficient information must be provided within the 15-page Project Description to enable reviewers to evaluate the:

1. rationale for involving animals;
2. choice of species and number of animals to be used;
3. description of the proposed use of the animals;
4. exposure of animals to discomfort, pain, or injury; and
5. description of any euthanasia methods to be used.

Grant Proposal Guide Changes: Other clarifications

CONFERENCE PROPOSALS

➤ COSTS NOT ALLOWED

➤ Meals and Coffee Breaks for Intramural Meetings.

➤ Costs of entertainment, amusement, diversion and social activities (such as tickets to shows or sporting events, meals, lodging, rentals, transportation and gratuities) are unallowable and may not be requested.

➤ Travel, meal, and hotel expenses of awardee employees who are not on travel status also are not permitted. Costs of employees on travel status are limited to those specifically authorized by 2 CFR § 200.474.

➤ Alcoholic Beverages.

Grant Proposal Guide Changes: Other clarifications

CONFERENCE PROPOSALS

➤ FACILITIES, EQUIPMENT AND OTHER RESOURCES: If there will be support from other sources for the conference, this information should be included in the Facilities, Equipment and Other Resources section and not in the proposal budget or budget justification.

➤ Registration fees under NSF-supported conferences are considered program income.

➤ No excess property may be acquired on behalf of conference, publication-support grants or travel grants.

Grant Proposal Grant Changes: Other Clarifications

PARTICIPANT SUPPORT COSTS

➢Any additional categories of participant support costs other than those described in 2 CFR § 200.75 (such as incentives, gifts, souvenirs, t-shirts and memorabilia), must be justified in the budget justification, and such costs will be closely scrutinized by NSF.

Grant Proposal Grant Changes: Other Clarifications

BROADER IMPACTS

The Project Description needs to include a section clearly identified with the heading **Broader Impacts** . This is a change from last year's (NSF 15-1)required heading for this section (Broader Impacts of the Proposed Work).

Award Administration Guide Changes

➤ FINAL TECHNICAL REPORT: Now due within 120 days after the end of the period of performance.

➤ POST-DOCS MENTORING PLAN: required for transferred awards (grant or contract).

  ➤ Also for addition of a subaward requests that include a postdoc researcher and the original proposal did not include a mentoring plan.

NSF Public Access Policy

NSF's policy on public access to copyrighted material (Public Access Policy) reflects the Foundation's commitment to making certain that, to the extent possible, the American public, industry and the scientific community have access to the results of federally funded scientific research. Pursuant to this policy, awardees must ensure that articles in peer-reviewed scholarly journals and papers in juried conference proceedings:

1. *are deposited in a public access compliant repository (as identified in the Public Access Policy);*

2. *are available for download, reading, and analysis within 12 months of publication;*

3. *possess a minimum set of machine-readable metadata elements as described in the Public Access Policy; and*

4. *are reported in annual and final reports with a persistent identifier.*

For more information:
http://www.nsf.gov/news/special_reports/public_access/index.jsp

NSF Public Access Policy Implementation

➤NSF partnered with DOE to develop NSF-PAR, the first NSF publication repository.

➤New Public Access Repository Dashboard will be integrated and available in Research.gov.

➤Applies to awards made from proposals submitted on or after January 25, 2016.

  ➤Prior to effective date PIs will have the option to voluntarily deposit publications in NSF-PAR.

➤First set of proposals awarded June - July 2016.

➤ Likely to see first publications requiring deposit in Fall 2016.

➤The requirement will appear as part of the terms and conditions.

➤Either the final printed version or the final peer-reviewed manuscript is acceptable for deposit.

NSF Public Access: Next Steps

Research.gov: Notifications and Requests

These notifications and requests are now in Research.gov:

➢ Long-Term Disengagement of the PI or Co-PI

➢ Pre-Award Costs in Excess of 90 Days

➢ Significant Changes in Methods/Procedures

➢ Significant Changes/Delays or Events of Unusual Interest

➢ Changes in Objectives or Scope

➢ Reallocation of Funds Provided for Participant Support Costs

➢ Change in Person-Months Devoted to the Project

➢ Withdrawal of PI or Co-PI

➢ Rearrangements/Alterations in Excess of $25,000 (Construction)

➢ Conflicts of Interest

➢ No Cost Extensions

Research.gov: Notifications and Requests

FEATURES

➢ "Prepare New" or view N&Rs needing action.

➢ View all N&Rs whether created in Research.gov or FastLane.

➢ Click the "Go to FastLane" button to view N&Rs created in FastLane without signing in again.

➢ N&Rs organized by type.

➢ User will be taken to FastLane if N&R selected is not yet available in Research.gov.

Questions?