

**Stanford** | RESEARCH

Vice Provost and Dean of Research

# Summary of Research Policy Handbook Chapters 2.1 Changes and Clarification

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**Effective date: 2/20/25**

**Policy Authority: Senate of the  
Academic Council**

**Policy Contact:** Kathie Kern, Director  
of Research Administration and  
Compliance, [kakern@stanford.edu](mailto:kakern@stanford.edu)



# Summary of Policy Changes

RPH 2.1 PI Eligibility and Criteria for Exceptions Policy	Old Policy Language	New Policy Language or Change	Policy Notes
Section 1 PI Eligibility Policy	Mentioned only externally funded projects	Applies to both externally and certain <i>internally</i> funded projects, “University Research Awards” as defined by the <u>Office of Research Administration</u> .	None
Section 2.A. Exceptions that Require Approval from the Department Chair or Designated Delegate	N/A	Reorganizes the policy to reduce administrative burden by removing Dean level approval for select exception categories.	Follow standard approval process
2.A.2. New type of exception for Doctoral Dissertation Research Improvement Grants	N/A	A new exception category for family of funding opportunities where sponsors require the applicant student to be named as Co-PI and the student’s advisor, an eligible principal investigator, is lead PI. If criteria are met, Chair’s approval but no Dean level approval needed.	Follow standard approval process

# Summary of Policy Changes

<b>RPH 2.1 PI Eligibility and Criteria for Exceptions Policy</b>	<b>Old Policy Language</b>	<b>New Policy Language or Change</b>	<b>Policy Notes</b>
Section 2.A.3 New exception category for University Research Awards	N/A	The category requires department chair or delegate approval of the three criteria below: <ol style="list-style-type: none"><li>1. Appropriate space and resource allocations</li><li>2. Faculty to oversee graduate student involvement.</li><li>3. Verify that proposed PI's qualifications meet university standards.</li></ol>	Chair or delegate approves PI applicants. A reasonable delegate is someone named by the chair or a stand-in with knowledge to verify the criteria such as a Director of Finance and Operations or equivalent.

# Policy Clarification

Focus of Proposed Policy Clarification	Existing Policy	Change	Policy Notes
2.A.1. Pending Appointments for New Faculty	Appointment has been approved by the Provost and the project period start date falls on/after the appointment start date	No change	Signed offer letter and department confirmation that the Provost has approved the appointment. <i>If</i> the appointment is pending approval from the Provost, the request should be made under exception (D) rare exceptions.