



**DEPARTMENT OF THE NAVY**  
 OFFICE OF NAVAL RESEARCH  
 875 NORTH RANDOLPH STREET  
 SUITE 1425  
 ARLINGTON, VA 22203-1995

Agreement Date: August 27, 2019

[Supersedes Rate Agreement dated: September 13, 2018]

**NEGOTIATION AGREEMENT**

INSTITUTION: **Stanford University**  
**Stanford, California 94305**

The Facilities and Administrative (F&A) cost rates contained herein are for use on grants, contracts and/or other agreements issued or awarded to Stanford University by all Federal Agencies of the United States of America, in accordance with the cost principles mandated by 2 CFR Part 200. These rates shall be used for forward pricing and billing purposes for Stanford University's Fiscal Years 2019 through 2020. This rate agreement supersedes all previous rate agreements/determinations for Fiscal Year 2019.

**Section I: RATES - TYPE: PREDETERMINED (PRED)**

**F&A Rates:**

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE TO</u>	<u>LOCATION</u>
Pred	9/1/18	8/31/19	56.50%	(a)	Organized Research	On Campus
Pred	9/1/18	8/31/19	29.30%	(a)	Organized Research	Off Campus
Pred	9/1/18	8/31/19	37.70%	(a)	Sponsored Instruction	On Campus
Pred	9/1/18	8/31/19	28.60%	(a)	Sponsored Instruction	Off Campus
Pred	9/1/18	8/31/19	37.80%	(a)	Other Sponsored Activities	On Campus
Pred	9/1/18	8/31/19	28.40%	(a)	Other Sponsored Activities	Off Campus
Pred	9/1/18	8/31/19	79.60%	(b)	Animal Care	VSC*

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE TO</u>	<u>LOCATION</u>
Pred	9/1/19	8/31/20	57.70%	(a)	Organized Research	On Campus
Pred	9/1/19	8/31/20	29.20%	(a)	Organized Research	Off Campus
Pred	9/1/19	8/31/20	38.10%	(a)	Sponsored Instruction	On Campus
Pred	9/1/19	8/31/20	28.70%	(a)	Sponsored Instruction	Off Campus
Pred	9/1/19	8/31/20	38.80%	(a)	Other Sponsored Activities	On Campus
Pred	9/1/19	8/31/20	28.60%	(a)	Other Sponsored Activities	Off Campus
Pred	9/1/19	8/31/20	81.00%	(b)	Animal Care	VSC*

\* Veterinary Service Center (VSC)

## DISTRIBUTION BASES

(a) *MTDC* means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). *MTDC* excludes equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000.

(b) VSC direct costs.

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## **SECTION II: GENERAL TERMS AND CONDITIONS**

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**A. LIMITATIONS:** Use of the rates set forth under Section I is subject to availability of funds and to any other statutory or administrative limitations. The rates are applicable to a given grant, contract or other agreement only to the extent that funds are available and consistent with any and all limitations of cost clauses or provisions, if any, contained therein. Acceptance of any or all of the rates agreed to herein is predicated upon the following conditions: (1) that no costs other than those incurred by the institution were included in this indirect cost pool as finally accepted and that such costs are legal obligations of the institution and allowable under governing cost principles; (2) that the same costs that have been treated as indirect costs are not claimed as direct costs; (3) that similar types of costs have been accorded consistent accounting treatment; and (4) that the information provided by the institution which was used as a basis for acceptance of the rates agreed to herein, and expressly relied upon by the Government in negotiating and accepting the said rates is not subsequently found to be materially incomplete or inaccurate.

**B. ACCOUNTING CHANGES:** The rates contained in Section I of this agreement are based on the accounting system in effect at the time the agreement was negotiated. Changes to the method(s) of accounting for costs, which affect the amount of reimbursement resulting from the use of these rates require the prior written approval of the authorized representative of the cognizant agency for indirect costs. Such changes include but are not limited to changes in the charging of a particular type of cost from indirect to direct. Failure to obtain such approval may result in subsequent cost disallowances.

**C. PREDETERMINED RATES:** The predetermined rates contained in this agreement are not subject to adjustment in accordance with the provisions of 2 CFR Part 200, subject to the limitations contained in Part A of this section.

**D. USE BY OTHER FEDERAL AGENCIES:** The rates set forth in Section I are negotiated in accordance with and under the authority set forth in 2 CFR Part 200. Accordingly, such rates shall be applied to the extent provided in such regulations to grants, contracts, and other agreements to which 2 CFR Part 200 applies, subject to any limitations in part A of this section. Copies of this document may be provided by either party to other federal agencies to provide such agencies with documentary notice of this agreement and its terms and conditions.

E. **DFARS WAIVER:** Signature of this agreement by the authorized representative of Stanford University and the Government acknowledges and affirms the University's request to waive the prohibition contained in DFARS 231.303(1) and the Government's exercise of its discretion contained in DFARS 231.303(2) to waive the prohibition in DFARS 231.303(1). The waiver request by Stanford University is made to simplify the University's overall management of DoD cost reimbursements under DoD contracts.

F. **SPECIAL REMARKS:** The Government's agreement with the rates set forth in Section I is not an acceptance of the Stanford University's accounting practices or methodologies. Any reliance by the Government on cost data or methodologies submitted by Stanford University is on a non-precedence-setting basis and does not imply Government acceptance.

Accepted:  
FOR STANFORD UNIVERSITY:

FOR THE U.S. GOVERNMENT:



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RANDALL S. LIVINGSTON  
Vice President for Business Affairs  
And Chief Financial Officer

Aug 27, 2019

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Date

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NSON.1204289359

Digitally signed by TINGLE.BETTY.JOHNSON.1204289359  
DN: c=US, o=U.S. Government, ou=DoD, ou=PKI, ou=USN,  
cn=TINGLE.BETTY.JOHNSON.1204289359  
Date: 2019.08.27 14:45:39 -0400

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BETTY J. TINGLE  
Contracting Officer

August 27, 2019

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Date

*For information concerning this agreement contact:*

Betty Tingle  
Office of Naval Research

Phone: (703) 696-7742  
E-mail: [betty.tingle@navy.mil](mailto:betty.tingle@navy.mil)